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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thurston Gilman et al.      Art Unit : 2163  
Serial No. : 09/777,397      Examiner : Unknown  
Filed : February 6, 2001  
Title : CONTROLLING A TRADING ACCOUNT OWNED BY A SECURITIES  
TRADER

Attention: Official Draftsman  
Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice of Incomplete Reply (copy enclosed) mailed June 22, 2001 and the Notice of Informal Application mailed March 19, 2001, Applicants enclose thirty-two (32) sheets of formal drawings to be substituted for the corresponding drawings presently in the application. Also, enclosed is a Two-Month Petition for Extension of Time and a check for the fee to extend the period for the response to July 19, 2001. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 19, 2001

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 7-19-01  
Signature: Susan R. Jones  
Typed or Printed Name of Person Signing Certificate: Susan R. Jones



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,397	02/06/2001	Thurston Gilman	10380-004001

CONFIRMATION NO. 4534

## FORMALITIES LETTER



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Date Mailed: 06/22/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

*Request Withdrawal*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE